

PTO/SB/21 (08-03)

Approved for use through 07/31/2006, OMB 0851-0031

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| TRANSMITTAL FORM | | Application Number | |
|---|-----------------------------------|--|--|
| (to be used for all correspondence after initial filing) | | 09/865,941 | |
| | | Filing Date | |
| | | 5/25/2001 | |
| | | First Named Inventor | |
| | | Qian Zhang | |
| Group Art Unit | | 2611 | |
| Examiner Name | | Grant, C. | |
| Attorney Docket Number | | MS1-589US | |
| ENCLOSURES (check all that apply) | | | |
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached | | <input type="checkbox"/> Drawing(s) | |
| <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) | | <input type="checkbox"/> Licensing-related Papers | |
| <input type="checkbox"/> Extension of Time Request | | <input type="checkbox"/> Petition | |
| <input type="checkbox"/> Express Abandonment Request | | <input type="checkbox"/> Petition to Convert to a Provisional Application | |
| <input type="checkbox"/> Information Disclosure Statement | | <input type="checkbox"/> Power of Attorney, Revocation | |
| <input type="checkbox"/> Certified Copy of Priority Documents | | <input type="checkbox"/> Change of Correspondence Address | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | <input type="checkbox"/> Request for Refund | |
| | | <input type="checkbox"/> CD, Number of CD(s) | |
| | | <input type="checkbox"/> After Allowance Communication to Group | |
| | | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | |
| | | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| | | <input type="checkbox"/> Proprietary Information | |
| | | <input type="checkbox"/> Status Letter | |
| | | <input type="checkbox"/> Other Enclosure(s) (please identify below): | |
| Remarks | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
| Firm or Individual Name | Keith W. Saunders, Reg. No. 41462 | | |
| Signature | <i>Keith W. Saunders</i> | | |
| Date | 1/27/2005 | | |

| CERTIFICATE OF TRANSMISSION/MAILING | |
|---|---------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | |
| Typed or printed name | Carly Taylor |
| Signature | <i>Carly Taylor</i> |
| Date | 1/27/05 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006, OMB 0651-0052
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004,
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**0.00****Complete If Known**

| | |
|----------------------|------------|
| Application Number | 09/865,941 |
| Filing Date | 5/25/2001 |
| First Named Inventor | Qian Zhang |
| Examiner Name | Grant, C. |
| Art Unit | 2611 |
| Attorney Docket No. | MS1 -589US |

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Small Entity | |
|---|--------------|----------|
| | Fee (\$) | Fee (\$) |
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent | 50 | 25 |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

Total Claims - 20 or HP = _____ x 50 = _____ **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims - 3 or HP = _____ x 200 = _____ **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims **Fee (\$)** **Fee Paid (\$)**

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = _____ **Extra Sheets** / 50 = _____ **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

(round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: _____

SUBMITTED BY

| | | | |
|-------------------|--------------------------|--|--------------------------|
| Signature | <i>Keith W. Saunders</i> | Registration No. 41462 (Attorney/Agent) | Telephone (509) 324-9256 |
| Name (Print/Type) | Keith W. Saunders | | Date 1/27/2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/865,941
Confirmation No. 6647
Filing Date May 25, 2001
Inventor Zhang et al.
Group Art Unit 2611
Examiner Grant, Christopher C. (SPE)
Applicant Microsoft Corporation
Attorney's Docket No. MS1-0589US

Title: Resource Allocation in Multi-Stream IP Network
for Optimized Quality of Service

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JAN 27 2005

PRELIMINARY AMENDMENT

UNDER 37 CFR § 1.115

To: Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

From: Keith W. Saunders (Tel. 509-324-9256x238; Fax 509-323-8979)
Customer No. 22801
Lee & Hayes, PLLC
421 W. Riverside Ave., Suite 500
Spokane, WA 99201

INTRODUCTORY COMMENTS

This Patent Application was filed on 05/21/2001 with claims 1-34. This current Preliminary Amendment cancels claims 19-32.

Hence, after entry of this current Preliminary Amendment, claims 1-18 and 33-34 will remain pending and be presented for initial examination.